An international comparison of technology utilization in an aged care setting

Project of: The University of Missouri Sinclair School of Nursing Columbia, Missouri, USA



Sinclair School of Nursing University of Missouri Health

Macquarie University Centre for Health Systems and Safety Research NSW, Sydney



Project Funding: Sinclair School of Nursing Faculty Endowment Project Title: An International Report of Health Information Technology in NSW Australia Principal Investigator (PI): Professor Gregory L. Alexander Co-I: Professor Andrew Georgiou; Anne Livingstone

Background



Technology Roadmap for Aged Care in Australia June 2017

- Enabling consumers to use technology
- Calls for increased consumer choice and control in decision-making
- Aged care organisations will need to uptake IT
- Upskill the aged care workforce's technology literacy.

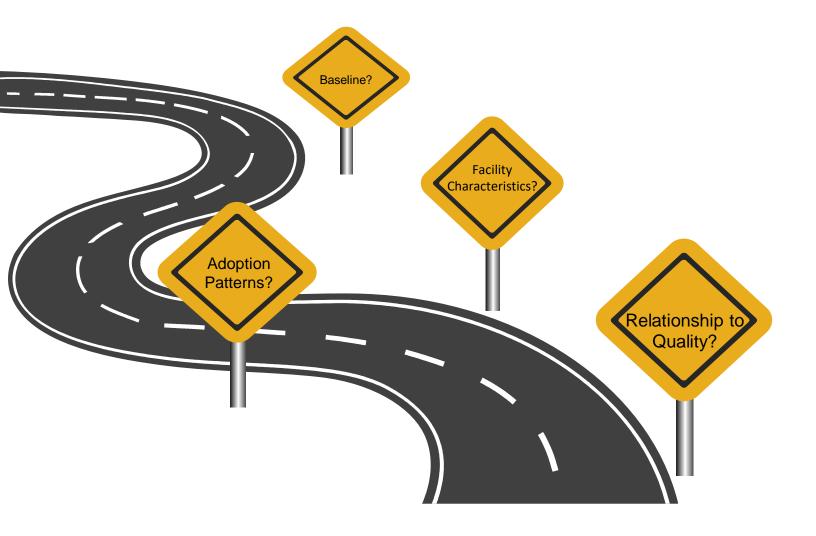


Background

Long Term Post Acute Care HIT Collaborative Roadmap, USA 2012-2014

- Care coordination enable customer-centered care planning and coordination across providers
- Quality Leverage technology to support accountability, measurement, and improvement of outcomes
- Business Imperative Leverage technology to gain efficiency
- Customer-Centered Use technology to build on person-centered care
- Workforce Acceleration Prepare the workforce to leverage technologies

Questions Left from Current Roadmaps



Framework for Assessing IT Maturation

| Attributes of IT | Domains of Health Care | | | |
|--|---|--|--|--|
| Maturity | Resident care | Clinical support (Lab, Pharmacy, Radiology) | | |
| Capability | Resident care activities supported by technology | Clinical processes supported by technology | | |
| Extent of Use | Technology used in resident care activities | Technology used in clinical support | | |
| Integration (Internal and External) | Degree of integration of resident care technology | Degree of integration of clinical support technology | | |

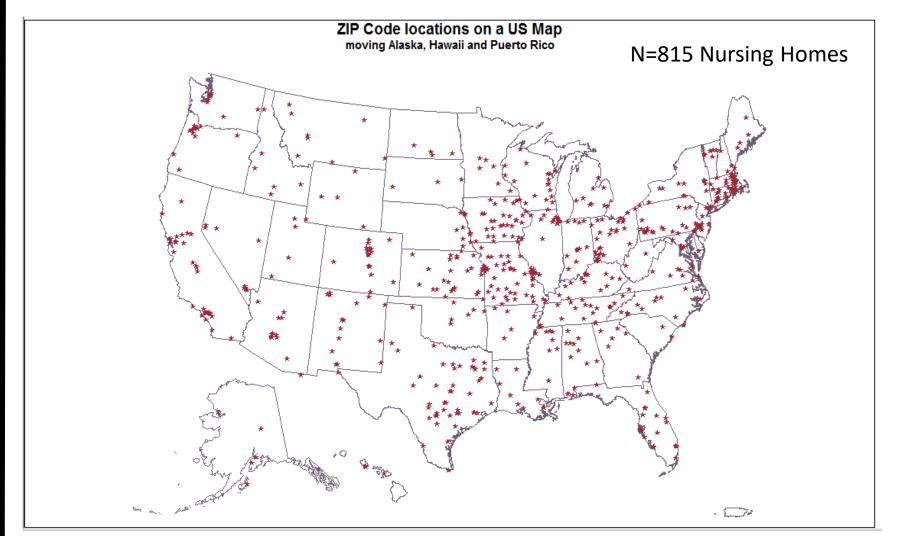
Components of Health IT Maturation: Capabilities vs. Extent of Use, Integration

THERAPY/TREATMENT PLAN **CLINICAL NOTES** Advance directive Medical history and physical **ACTIVITIES OF DAILY LIVING (ADLS) MANAGEMENT** Physician Orders **Resident** Patient demographics (ADT) **Quality Measures** Allergy list Laboratory Tests **Radiology** Results Medication reconciliation rsing care plans and flow sheets Nursing Orders Diagnosis or condition list Secure Messaging

Medication administration record (eMAR)

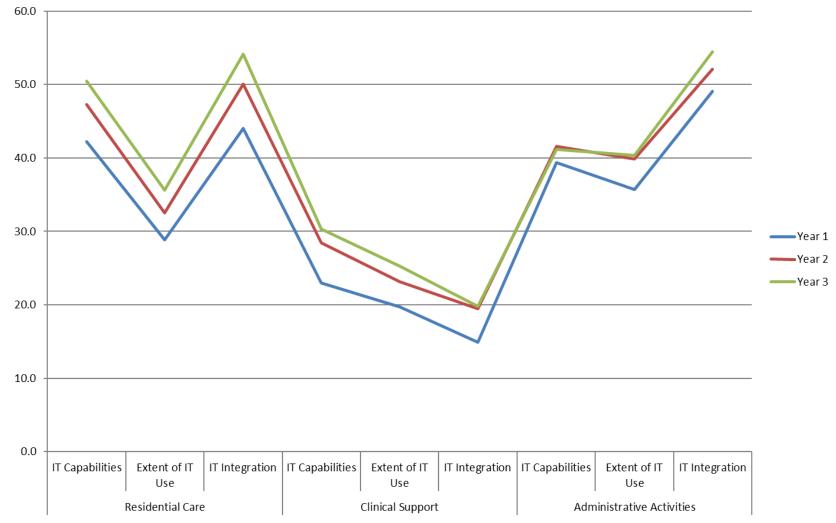
Vital signs (e.g., blood sugar, O2 levels)

Ongoing Studies in IT Maturation, USA



Agency for Healthcare Research Quality, 2013-2018; 2017-2022 (PI: Alexander) A National Report of Nursing Home Quality Measures and Information Technology

Ongoing Studies in IT Maturation, USA 2013-2017



Agency for Healthcare Research Quality, 2013-2022 (PI: Alexander) A National Report of Nursing Home Quality Measures and Information Technology

Statistical Differences Between Year 1 and Year 2 Change in IT Adoption and Quality Measures.

| Health Domain | IT Measure | QM | QM Name | R ² Y2-Y1 Differ | pvalue |
|------------------|------------------|-----|--|-----------------------------|--------|
| Administrative | IT Capabilities | 402 | % LS Who Report Mod to Severe Pain | 0.03 | 0.003 |
| | | 404 | % LS Who Loose to Much Weight | 0.02 | 0.02 |
| | | 406 | % LS Cath Inserted and Left in Bladder | 0.02 | 0.02 |
| | | 407 | % LS with UTI | 0.02 | 0.04 |
| | Extent of IT Use | 401 | % LS ADL Needs Increased | 0.02 | 0.02 |
| | | | | | |
| Resident Care | IT Capabilities | 434 | % SS Newly Received an Antipsychotic Med | 0.02 | 0.03 |
| | IT Integration | 408 | % LS who have Depressive Symptoms | 0.02 | 0.04 |
| | | 430 | % SS Assessed/Given Pneumococcal Vaccine | 0.02 | 0.04 |
| | | | | | |
| Clinical Support | IT Capabilities | 419 | % LS Received an Antipsychotic Med | 0.04 | 0.0003 |
| | Extent of IT Use | 415 | % LS Assessed/Given Pneumococcal Vaccine | 0.04 | 0.02 |
| | IT Integration | 409 | % LS Who Were Physically Restrained | 0.02 | 0.005 |

Research Aim

Explore the dimensions of IT maturity used to support resident care, clinical support in NHs in New South Wales, Australia.

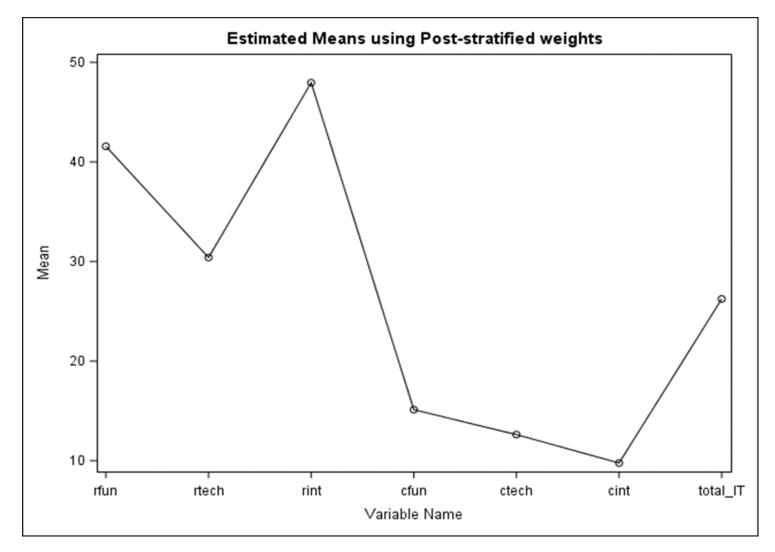
Research Efforts

Interviewed 45 Aged Care Administrators from NSW, Queensland, ACT, South Australia, New Zealand

Adapted a US survey describing IT capabilities, extent of IT use, and degree of IT integration in resident care and clinical support to an Australian audience

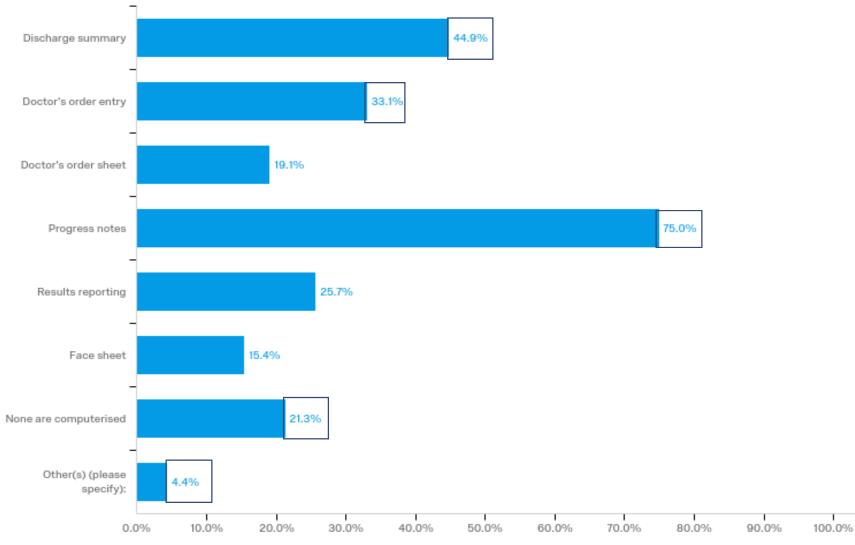
Conducted a statewide survey in NSW Australia 130 useable responses out of 876 homes in NSW for a response rate of 130/876 = 15%

Overall Results IT Maturation N=130 Facilities

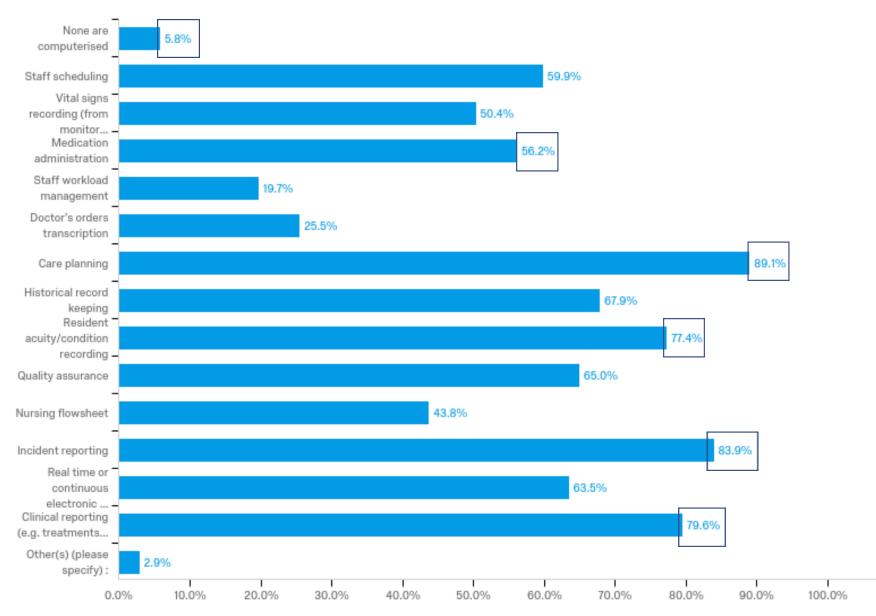


Resident Care Activities - Refers to those computer-based applications in support of physicians, nurses, and ancillary staff.

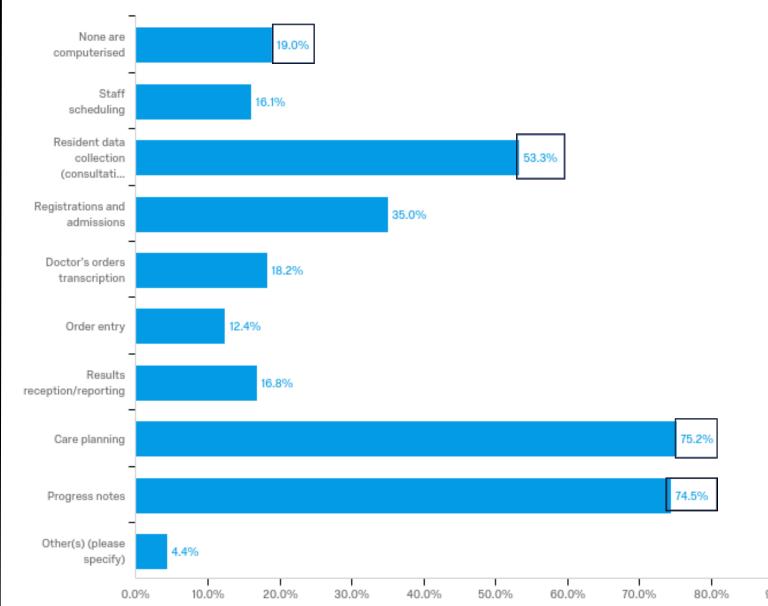
Physician



Nursing



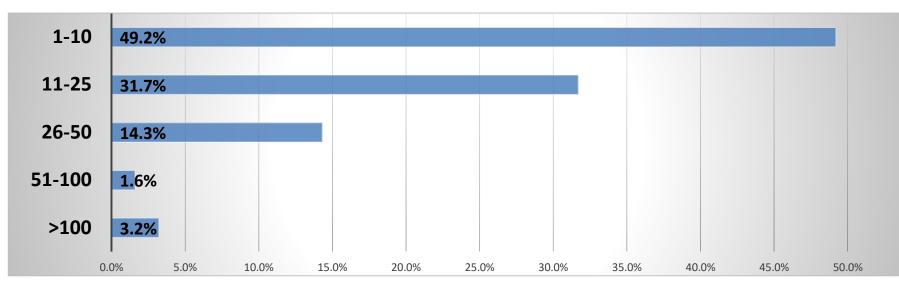
PT/OT



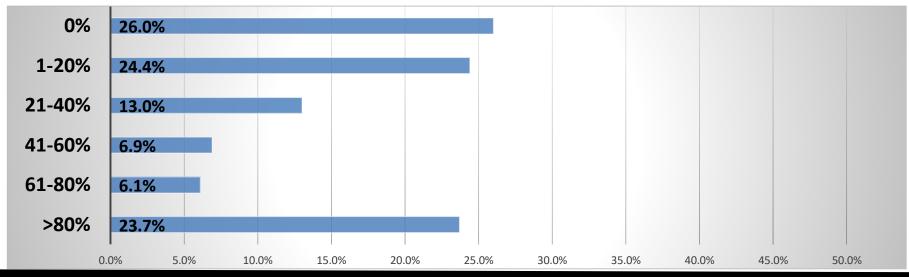
90.0% 100.0%

PHYSICIAN STATS

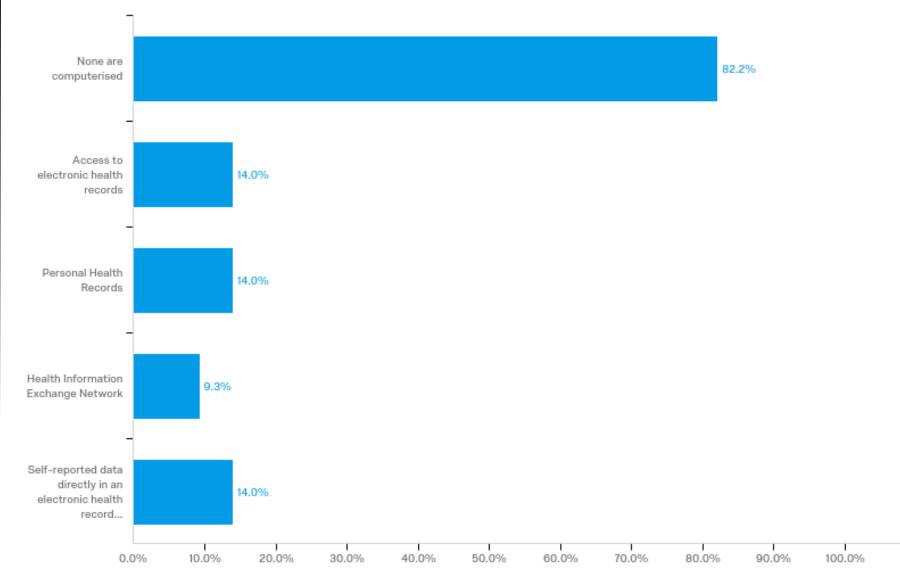
How many physicians see residents in a typical year?



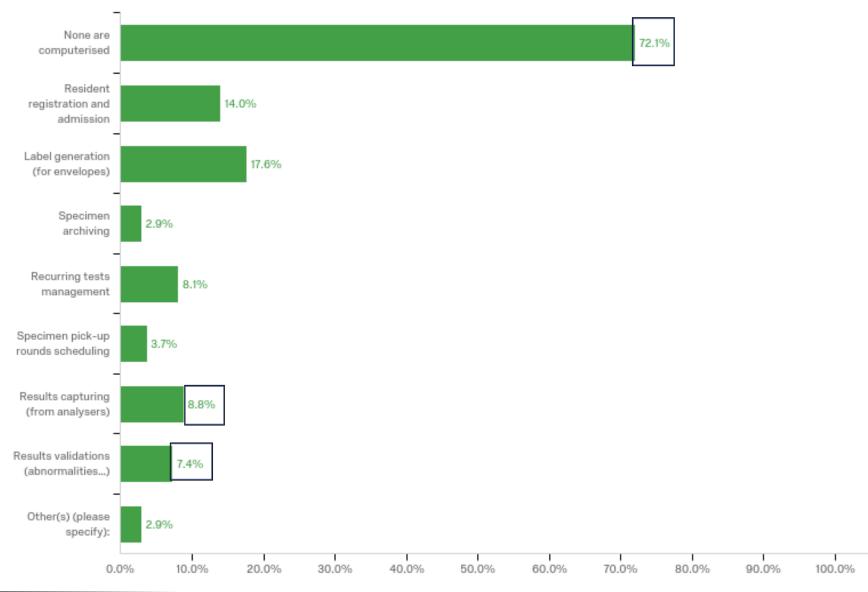
What percentage of these physicians are intensive users of your IT system?



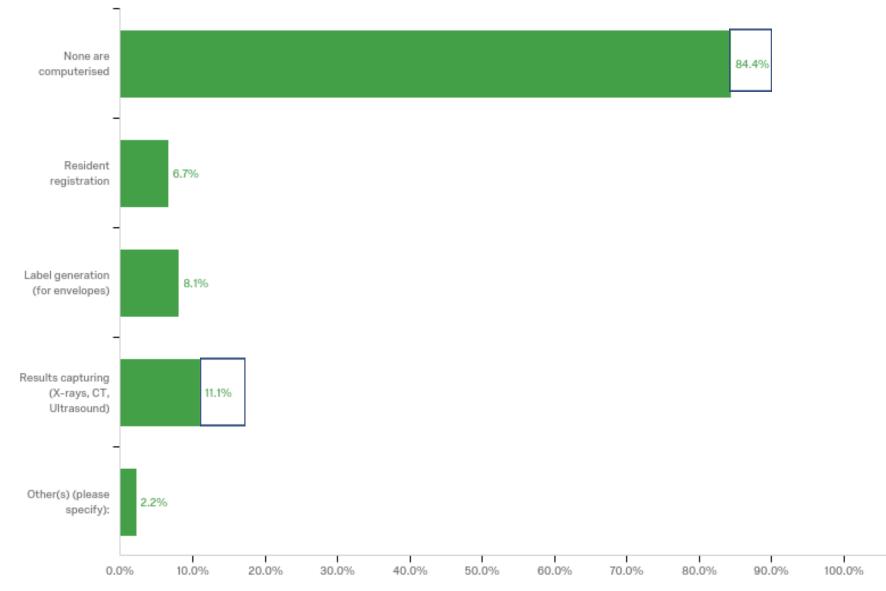
Patients/Patient's Representative Technology



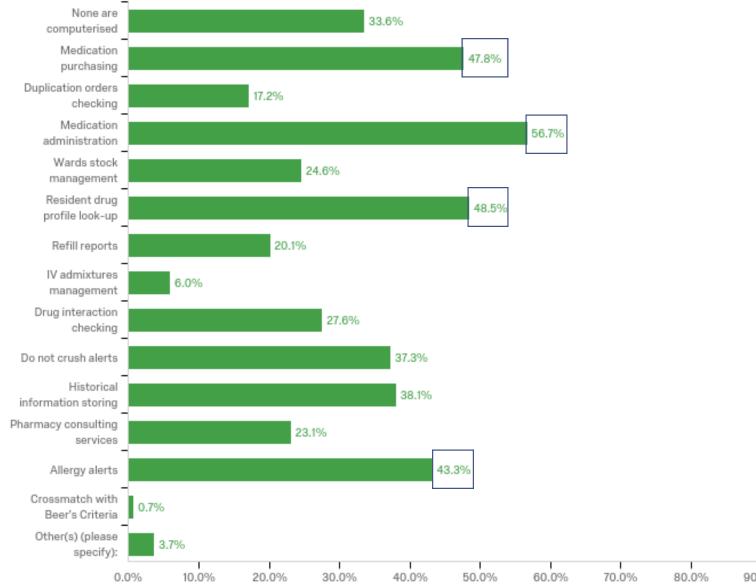
CLINICAL SUPPORT ACTIVITIES Laboratories



Radiology



Pharmacy



1010 001010

90.0% 100.0%

| EXTENT OF USE | Not available (%) | Barely used (%) | Somewhat Used (%) | Extensively Used (%) |
|--|----------------------|--------------------|-------------------------|----------------------------|
| Resident Administration | | | | |
| Electronic documentation of medical records throughout the aged care facility | 12.4 | 1.4 | 8.0 | 78.1 |
| Electronic documentation of resident identification | 8.0 | 0.0 | 5.1 | 86.8 |
| Scanning of medical records to make them available online | 27.8 | 13.9 | 19.0 | 39.5 |
| Centralised scheduling system | 35.0 | 5.8 | 18.2 | 40.8 |
| Resident Care Activities – Physician | | | | |
| Dictation (audio) system for Doctors' notes into medical records | 97.1 | 2.9 | 0.0 | 0.0 |
| Voice recognition system for Doctors' notes transcription | 97.8 | 2.2 | 0.0 | 0.0 |
| Connection to external databases | 58.9 | 11.8 | 18.4 | 11.1 |
| Decision support used by clinicians | 64.9 | 15.3 | 12.2 | 7.6 |
| Expert systems (e.g. residents use to enter their personal medical history by answering a set of questions | 86.1 | 7.3 | 0.7 | 5.9 |
| Telemedicine for evaluation of residents and pre-transfer Arrangements | 75.2 | 10.2 | 10.2 | 4.4 |
| Access to radiologic images via workstations / web browser | 74.2 | 9.5 | 11.0 | 5.1 |
| Telemedicine for transmission of diagnostic images and/or consultations and second opinions | 81.0 | 6.6 | 8.8 | 3.6 |
| Clinical decision support system | 70.8 | 11.7 | 11.6 | 5.8 |

| EXTENT OF USE | Not available (%) | Barely used (%) | Somewhat Used (%) | Extensively Used (%) |
|---|----------------------|--------------------|-------------------------|----------------------------|
| Resident Care Activities – Nursing | | | | |
| PCs or workstations at the nursing station | 3.6 | 4.3 | 6.5 | 85.4 |
| PCs or workstations in the hallways | 73.1 | 4.5 | 3.7 | 18.6 |
| PCs or workstations on the med cart | 44.4 | 2.2 | 0.7 | 52.6 |
| PCs or workstations at the bedside | 77.0 | 14.8 | 5.9 | 2.2 |
| Portable computing devices used (e.g., hand-held computers, | | | | |
| laptops, tablets, smart phones) | 38.2 | 17.0 | 18.4 | 26.4 |
| Touch screens | 58.7 | 7.2 | 11.1 | 23.0 |
| Resident Care Activities - PT/OT | | | | |
| PCs or workstations at the nursing station | 10.9 | 7.3 | 6.5 | 75.2 |
| PCs or workstations in the hallways | 77.6 | 6.0 | 4.4 | 11.9 |
| Portable computing devices used (e.g., hand-held computers, laptops, tablets, smart phones) | 55.1 | 18.4 | 5.2 | 21.3 |
| Touchscreens | 68.9 | 12.6 | 5.2 | 13.4 |

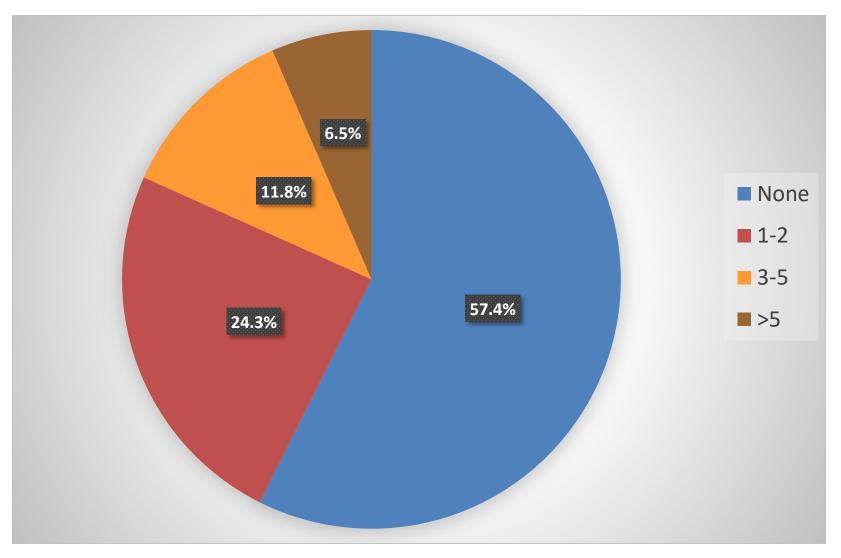
| EXTENT OF USE | Not available (%) | Barely used (%) | Somewhat Used (%) | Extensively Used (%) |
|--|----------------------|--------------------|----------------------|-------------------------|
| Clinical Support – Lab | | | | |
| Electronic coding for lab specimens | 97.8 | 0.7 | 0.7 | 0.7 |
| Electronic requisitions for laboratory tests by aged care facility | 97.8 | 0.7 | 0.7 | 0.7 |
| Electronic reporting of test results to aged care facility | 76.4 | 2.2 | 13.2 | 8.1 |
| Electronic transmission and reception of results for interpretation | 79.4 | 2.9 | 10.3 | 7.3 |
| Clinical Support – Radiology | | | | |
| Picture Archive Communications System (PACS) | 96.3 | 0.0 | 2.1 | 1.4 |
| Electronic coding (for envelopes management, films, etc.) | 97.1 | 0.0 | 1.5 | 1.4 |
| Electronic requisitions for tests | 97.8 | 0.7 | 0.7 | 0.7 |
| Voice recognition system for results transcription | 100.0 | 0.0 | 0.0 | 0.0 |
| Digital ("filmless") radiologic images | 91.1 | 2.2 | 0.7 | 5.9 |
| Access to radiologic images via workstation / web browser | 92.6 | 1.5 | 3.7 | 2.2 |
| Telemedicine for results capturing an interpretation by radiologists | 98.5 | 0.7 | 0.7 | 0.0 |
| Clinical Support - Pharmacy | | | | |
| Electronic Data Interchange links to medication suppliers | 46.0 | 1.5 | 2.2 | 50.3 |
| Extranet links to medication suppliers | 68.6 | 2.2 | 2.2 | 27.0 |
| Remote order entry for medications from clinical units | 67.1 | 0.7 | 0.7 | 31.3 |
| Remote order entry for medications from locations outside of the aged care facility (e.g. doctor access from home, office or clinic) | 84.7 | 4.40 | 0.7 | 10.2 |

| EXTENT OF INTEGRATION | Not available (%) | Barely used (%) | Somewhat Used (%) | Extensively Used (%) |
|--|----------------------|--------------------|-------------------------|----------------------------|
| Resident Care Activities - Physician | | | | |
| Medical or resident records are computerised in your aged care facility | 10.9 | 2.2 | 7.3 | 79.6 |
| Resident care systems are able to interface with external entities' computerised systems (e.g., clinics, clinical laboratory, hospitals, other aged care facilities, etc.) | 75.2 | 16.7 | 3.6 | 4.4 |
| Resident care systems are integrated with other computerised laboratory systems internally in your aged care facility | 80.2 | 9.6 | 3.0 | 7.3 |
| Resident care systems are integrated with other computerised PT/OT systems internally in your aged care facility | 61.3 | 10.9 | 3.7 | 24.0 |
| Resident care systems are integrated with other computerised dietary systems internally in your aged care facility | 65.0 | 10.9 | 5.9 | 18.3 |
| Resident care systems are integrated with pharmacy computerised systems internally in your aged care facility | 41.2 | 1.5 | 16.9 | 40.4 |
| Resident care systems are integrated with human resources computerised systems internally in your aged care facility | 60.6 | 4.4 | 11.7 | 23.3 |
| Resident care systems are integrated with finance computerised systems internally in your aged care facility | 33.8 | 15.5 | 5.2 | 45.6 |

| EXTENT OF INTEGRATION | Not available (%) | Barely used (%) | Somewhat Used (%) | Extensively Used (%) |
|---|----------------------|--------------------|-------------------------|----------------------------|
| Resident Care Activities - Nursing | | | | |
| Nursing information systems are integrated in your aged care facility | 21.9 | 3.7 | 9.4 | 64.9 |
| Nursing information systems are integrated with pharmacy information systems in your aged care facility | 38.7 | 6.6 | 7.3 | 47.4 |
| Nursing information systems are integrated with dietary information systems in your aged care facility | 43.7 | 7.4 | 10.3 | 38.5 |
| Nursing information systems are integrated with PT/OT information systems in your aged care facility | 42.9 | 3.7 | 9.6 | 43.7 |
| Nursing information systems are integrated with laboratory information systems in your aged care facility | 76.5 | 14.0 | 3.0 | 6.6 |
| Resident Care Activities - PT/OT | | | | |
| PT/OT systems are integrated to other information systems (pharmacy, laboratory, nursing) | 47.5 | 10.9 | 17.6 | 24.1 |
| Patients/Patient's Representative Technology | | | | |
| Patients or their representatives use of Electronic Health Records | 82.7 | 10.3 | 6.9 | 0.0 |
| Patients or their representatives use of Personal Health Records | 75.8 | 13.8 | 10.3 | 0.0 |
| Patients or their representatives use of a Health Information Exchange Network | 82.7 | 6.8 | 10.3 | 0.0 |
| Patients or their representatives use of self-reported data into an Electronic Health Record | 64.5 | 12.9 | 13.0 | 9.7 |

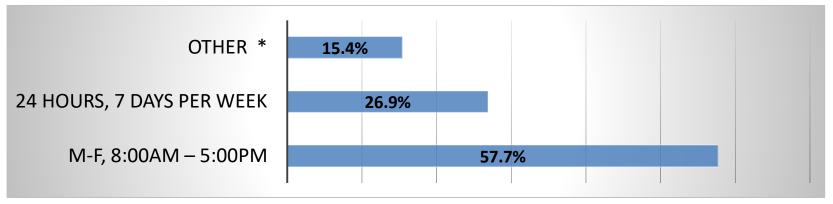
| EXTENT OF INTEGRATION | Not available (%) | Barely used (%) | Somewhat Used (%) | Extensively Used (%) |
|--|----------------------|--------------------|-------------------------|----------------------------|
| Clinical Support – Lab | | | | |
| Laboratory systems are integrated with other computerised systems (e.g. nursing) in your aged care facility | 92.7 | 0.7 | 4.4 | 2.2 |
| Your laboratory systems are integrated with external entities' (other hospitals, clinics, other aged care facilities, etc.) computerised information systems | 94.8 | 2.2 | 0.7 | 2.2 |
| Clinical Support – Radiology | | | | |
| Radiology systems are integrated with computerised systems in other units (nursing, PT/OT, etc.) in your aged care facility | 98.5 | 0.7 | 0.0 | 0.7 |
| Your radiology systems have an interface with external entities' systems (other hospitals, clinics, aged care facilities, etc.) | 99.3 | 0.7 | 0.0 | 0.0 |
| Clinical Support – Pharmacy | | | | |
| Pharmacy systems are integrated with computerised systems in other units (nursing, OT/PT, etc.) in your aged care facility | 54.1 | 1.5 | 8.0 | 36.5 |
| Your pharmacy systems have an interface with external entities' systems (e.g., pharmacies, other hospitals, aged care facilities, etc.) | 83.9 | 3.6 | 0.7 | 11.7 |

IT Personnel in the Aged Care Facility (excluding long-term consultants or sub-contractors)



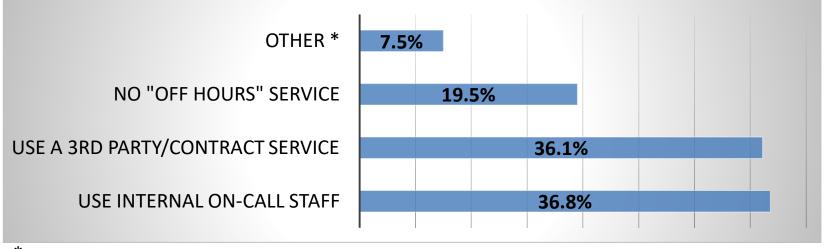
IT STAFFING AND SYSTEMS

Usual hours of IT operations?



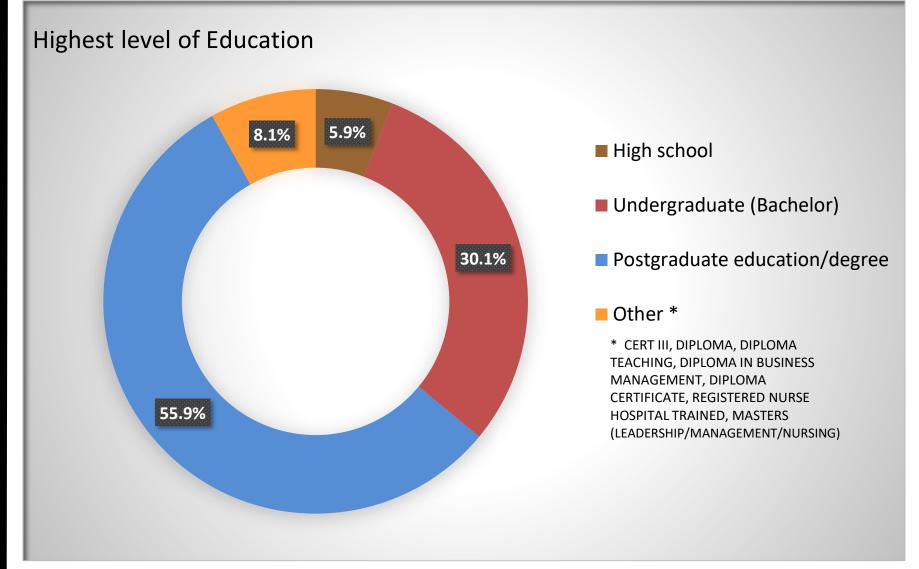
* AS NEEDED, M + W 9AM-6PM, 8:30-4, QRS MANAGER IS AN IT CONSULTANT, NOT SURE, LOCATED HEAD OFFICE, 9AM - 5PM HEAD OFFICE ONLY, ALL DONE REMOTE, IT BASED AT CORPORATE OFFICE

How are "off-hours" IT issues handled?



* IT DEPARTMENT IN HEAD OFFICE, CONTRACTOR WILL ATTEND SITE IF REQUIRED, ALL DONE REMOTE, IT BASED AT CORPORATE OFFICE, AFTER HOURS NUMBER AVAILABLE IF NEEDED

ABOUT THE RESPONDENTS



Job Titles

| ACTING SERVICE MANAGER | DEPUTY DIRECTOR OF CARE | QUALITY AND SAFETY COORDINATOR |
|----------------------------------|----------------------------|------------------------------------|
| ADMINISTRATION | DEPUTY SERVICE MANAGER | QUALITY CARE MANAGER |
| ADMINISTRATION WARD CLERK | DIRECTOR CARE SERVICES | QUALITY COORDINATOR EDUCATOR |
| ASSISTANT DIRECTOR CARE SERVICES | DIRECTOR OF NURSING | QUALITY, RISK AND SAFETY MANAGER |
| ASSISTANT FACILITY MANAGER | EDUCATOR | REGISTERED NURSE |
| BUSINESS MANAGER/RN DWI | EXECUTIVE CARE MANAGER | REGISTERED NURSE / SYSTEMS MANAGER |
| CARE DIRECTOR | FACILITY MANAGER | REGISTERED NURSE, GENERAL MANAGER |
| CARE MANAGER | GENERAL MANAGER | RESIDENTIAL CARE MANAGER |
| CARE MANAGER/CLINICAL NURSE | GENERAL MANAGER - IT | RESIDENTIAL MANAGER |
| CONSULTANT | GENERAL MANAGER OPERATIONS | RESIDENTIAL MANAGER/RN |
| CARE SUPERVISER | IT COORDINATOR | RESIDENTIAL SERVICE MANAGER |
| CEO | IT SUPPORT | SERVICE MANAGER |
| CLINICAL MANAGER | MANAGER | SERVICE MANAGER / REGISTERED NURSE |
| CLINICAL NURSE MANAGER | MANAGER OF CARE SERVICES | SERVICE MANAGER DON |
| CLINICAL PRACTICE MANAGER | MANAGING DIRECTOR | VILLAGE MANAGER |
| CONTINUOUS QI OFFICER | NURSE UNIT MANAGER | |

| Years in your current position | Years in current aged care facility | Years employed in any IT-related position |
|-----------------------------------|--|--|
| Range: 1 month - 43 years | Range: 2 months - 36 years | Range: 0 - 23 years |
| Average: 7.3 years | Average: 7.6 years | Average: 1.2 years |
| 0-5 years: 82 | 0-5 years: 76 | 0-1 years: 93 |
| 6-10 years: 28 | 6-10 years: 18 | 3-5 years: 4 |
| 11-20 years: 21 | 11-20 years: 29 | 10-12 years: 4 |
| > 20 years : 6 | > 20 years: 7 | 20 years or more: 3 |
| All 137 responded | 7 provided no information | 33 provided no information |

OPERATIONS MANAGER

Key Questions about the Future

- 1. What are the pattern of changes in overall IT Maturity over time and what links exist between the changes in ITS and NH attributes.
- 2. Are patterns of overall IT Maturity changes over time associated with changes in Quality Measures over time.
- 3. Which relationships between specific types of IT Maturity (dimensions and domains), facility attributes (Staffing, Facility, and Market Characteristics), and Quality Measures create the best opportunities for quality improvement.

Thank you

Questions

Contact Information

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